

# EXHIBIT A

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

IN RE: UBER TECHNOLOGIES, INC.  
PASSENGER SEXUAL ASSAULT  
LITIGATION

MDL No. 3084 CRB

**[PROPOSED] ORDER NO. \_\_\_\_:**  
**STIPULATED DEPOSITION PROTOCOL**

\_\_\_\_\_  
This Document Relates to:  
ALL ACTIONS

This Order shall govern the conduct of all depositions<sup>1</sup> in the following cases: (1) those actions transferred to this Court by the Judicial Panel on Multidistrict Litigation (“JPML”) pursuant to its order entered on October 4, 2023, (2) any tag-along actions transferred to this Court by the JPML pursuant to Rules 7.1 and 7.2 of the Rules of Procedure of the Panel, after the filing of the final transfer order by the Clerk of the Court, and (3) all related actions originally filed in this Court or transferred or removed to this Court and assigned thereto as part of *In re: Uber Technologies, Inc. Passenger Sexual Assault Litigation*, MDL No. 3084 (“MDL 3084”). These cases will be referred to as the “MDL Proceedings.”

<sup>1</sup> The Court anticipates entering a subsequent order addressing in more detail the depositions of Plaintiffs and other plaintiff-specific witnesses, including their health care providers and any Uber employees or other individuals who were specifically involved in responding to the alleged incident. This subsequent order will address limitations on the number and length of examinations, as well as any issues relating to the identity of Plaintiff and disclosure of confidential documents to third parties.

1 **I. General Provisions**

2 The Parties and their counsel acknowledge their duty to work together cooperatively in  
3 both scheduling and conducting depositions. Any Party's agreement to and appearance on this  
4 Stipulation does not constitute a waiver of any defense or right not specifically addressed.

5 **A. Noticing Depositions**

6 1. *Notice of Deposition Procedures.* All deposition notices shall comply with the  
7 requirements of Federal Rule of Civil Procedure 30(b) and include the name, address, and  
8 telephone number of an attorney point of contact designated by the Party noticing the deposition  
9 (the "Deposition Liaison Counsel") as well as the date, time, and place of the deposition, including  
10 whether the deposition will proceed in person or remotely. The Deposition Liaison Counsel will  
11 be responsible for all logistical issues and communications related to the noticed deposition. If a  
12 deposition is cross-noticed, the notice shall comply with the rules of the relevant jurisdiction. A  
13 party that cross-notices a deposition in one or more cases outside the MDL Proceedings is  
14 responsible for service of both the original notice and cross-notice on all parties entitled to receive  
15 such notice, both in this MDL and the other action(s). All depositions noticed or properly cross-  
16 noticed in this MDL are subject to this deposition protocol. Defendants shall include the following  
17 E-mail address [email to be provided] on all communications requesting deposition testimony  
18 and/or document production. Plaintiffs shall include the following E-mail address [email to be  
19 provided] on all communications requesting deposition testimony and/or document production.

20 2. *Third-Party Depositions.* All third-party subpoenas seeking deposition testimony shall  
21 comply with Federal Rule of Civil Procedure 45. A copy of this Protocol shall be attached to each  
22 third-party subpoena issued or served in the MDL Proceedings requesting deposition testimony.  
23 All third-party subpoenas requesting deposition testimony and/or document production shall be  
24 served as provided in Section I.A.1.

25 **B. Scheduling and Production of Custodial Files**

26 Absent extraordinary circumstances, counsel for the noticing party should consult in  
27 advance ("Initial Notification") with counsel for the deponent to schedule depositions at mutually  
28 convenient times and locations.

1 The parties agree that ten (10) days after a party makes an “Initial Notification” that it  
2 would like to schedule a deposition (the “Requesting Party”), the party receiving that notification  
3 (the “Receiving Party”) shall either:

- 4 1. Propose 2 dates for the witnesses’ deposition; or
- 5 2. Notify the Requesting Party that the Receiving Party cannot yet propose dates for the  
6 requested deposition(s) and provide the basis for the Receiving Party’s inability to provide such  
7 dates.

8 Within three days of receiving proposed deposition dates from the Receiving Party under  
9 paragraph (1) above, the Requesting Party will confirm by e-mail acceptance of the proposed dates  
10 or ask for alternative dates. If the Requesting Party accepts the proposed dates, the Requesting  
11 Party will issue a Deposition Notice (“First Confirmed Date”).

12 The portions of the custodial file that a party is obligated to produce shall be produced at  
13 least twenty-one (21) days in advance of the First Confirmed Date. If a First Confirmed Date is  
14 subsequently rescheduled, the production of the custodial file shall not be delayed because of the  
15 rescheduling, unless the rescheduling occurs because of an issue concerning production of a  
16 custodial file. If there is an issue with the timely production of a custodial file, the producing party  
17 must upon learning of the issue promptly notify the Receiving Party, describe the issue, and  
18 provide a good-faith estimated date as to when the issue is expected to be resolved and its  
19 production will be substantially complete.

20 If the initial response by the Receiving Party is to notify the Requesting Party that there is  
21 no date pursuant to paragraph (2) above, the Receiving Party shall have seven (7) additional days  
22 to either provide reasonable proposed dates for the deposition or a further update on the Receiving  
23 Party’s efforts to provide dates for the deposition (“Second Response”). If no date is proposed by  
24 the Second Response deadline, then the Receiving Party shall produce the custodial file on the  
25 earlier of the following dates: twenty-one (21) days from the Second Response date or twenty-one  
26 (21) days in advance of any deposition date subsequently agreed, whichever date is earlier.

27 Ten (10) days after any party produces a custodial file, the producing party shall provide a  
28 privilege log that corresponds to the custodial file and complies with the requirements of the

1 Court's Order related to Privileged Materials. Any challenges to those privilege logs will be made  
2 within seven (7) days. The Parties will meet and confer about any challenges within four (4) days.  
3 The Parties will make good faith and reasonable efforts to produce documents initially withheld  
4 in whole or in part on grounds of privilege that are downgraded in the privilege logging or meet  
5 and confer process within five (5) calendar days of serving the log or the conclusion of the meet  
6 and confer unless otherwise agreed upon by the parties. For Defendants' employee or former  
7 employee witnesses, personnel files will be produced 14 days before the deposition.

8 When counsel have agreed on mutually acceptable dates and location for a deposition, all  
9 parties shall be notified of the scheduled deposition pursuant to Section I.A.1. If the parties cannot  
10 agree on a date, time, or location for the deposition after undertaking good faith efforts to reach  
11 agreement, the deposition may still be noticed, subject to appropriate motions to quash.

12 Once a deposition has been scheduled, except upon agreement of counsel for the noticing  
13 party and the deponent, or upon leave of the Court, it shall not be taken off calendar, postponed,  
14 or rescheduled fewer than three (3) calendar days in advance of the date upon which the deposition  
15 has been scheduled to occur.

16 **C. Location for Depositions**

17 Unless the parties agree otherwise, depositions of Defendant and their current or former  
18 officers and employees will take place within seventy-five (75) miles of the location where the  
19 deponent resides, is employed, or regularly transacts business in person, or, where agreed by both  
20 the noticing party and the deponent, at the offices of counsel for the parties, the federal courthouse  
21 in the witness's home federal jurisdiction, or the courthouse in the Northern District of California.  
22 Counsel will make reasonable efforts to obtain the agreement of former employees to appear at  
23 designated locations.

24 Unless otherwise agreed, the deposition of an expert witness will take place in the expert  
25 witness's home federal jurisdiction or, where agreed, at the offices of counsel for the party who  
26 has retained the expert witness.

27 Federal Rule of Civil Procedure 45(c) shall govern the location of third-party depositions.  
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1           **D.     Remote Depositions**

2           Conducting in-person depositions is not always feasible. A noticing party may choose to  
3           conduct the deposition remotely (remote deposition), that is, in a manner that allows for the  
4           deponent, all other persons entitled to attend, and all other necessary persons (e.g., the officer/court  
5           reporter) to participate without attending the deposition in person. A remote deposition may be  
6           conducted by a video-conferencing platform, or by another means agreed to by the parties. A  
7           remote deposition shall be considered taken in the county and at the place where the deponent is  
8           located, or other reasonable location agreed to by the parties.

9           If a deposition proceeds remotely (“Videoconference Deposition”), the following shall also  
10          apply:

11          1.       If the witness’s counsel or any Party’s counsel is physically located in the room or  
12          facility where the witness is located, then the noticing counsel has the right to be physically located  
13          in the room or facility where the witness is located. This arrangement shall be disclosed to the  
14          requesting or noticing counsel as soon as practicable within fourteen (14) days of the initial request  
15          to deponent’s counsel for a deposition and, if any event, no later than twenty-one (21) days in  
16          advance of the confirmed deposition as reflected in a formal deposition notice.

17          2.       Any Videoconference Deposition taken pursuant to this Court’s Orders must  
18          comply with the requirements in Rule 30(b)(5). This includes the requirements that, (1) “[u]nless  
19          the parties stipulate otherwise, a deposition must be conducted before an officer appointed or  
20          designated under Rule 28,” and (2) that officer must administer the oath or affirmation to the  
21          deponent. Fed. R. Civ. P. 30(b)(5). A Videoconference Deposition taken pursuant to this Order  
22          will be deemed to have been taken before an appropriate officer despite the court reporter not being  
23          in the same physical location as the witness—as long as the court reporter attends the deposition  
24          by the same remote means as the other participants and is able to hear and communicate with other  
25          attendees. To the extent permitted by the law of the state in which the witness is located, the witness  
26          may be sworn in remotely with the same effect as an oath administered in person.

1           3.       The deposition notice for any Videoconference Deposition pursuant to Rule 30  
2 must list the location(s) (city and state) from where the witness will attend and which information  
3 the witness's counsel must provide upon request of the noticing party.

4           4.       All deposition notices must identify the company that will host and record the  
5 remote deposition (the "Remote Deposition Vendor") and contain a general description of how  
6 those attending may access the remote connection being utilized (*e.g.*, Zoom, GoToMeeting,  
7 WebEx). The party noticing the deposition must provide the witness and all other attendees with  
8 detailed instructions regarding how to participate in the Videoconference Deposition at least three  
9 (3) business days before the deposition.

10          5.       At the time of the deposition, the witness must advise the court reporter of their  
11 physical location. The witness should endeavor to participate in the deposition from a quiet, well-  
12 lit, indoor location, while seated in front of a neutral background and facing the camera being used  
13 to record the witness. To avoid any potential disruptions of a Videoconference Deposition, those  
14 attending must enable "do not disturb" settings for applications not in use, including but not limited  
15 to, Skype, instant messaging, and/or e-mail notifications. The Court recognizes that the  
16 microphones for certain attendees (such as the witness, the court reporter, the attorney taking the  
17 deposition, and the attorney defending the deposition) must remain on when the deposition is on  
18 the record. Other attendees should mute microphones when not speaking. The Remote Deposition  
19 Technology must be able to show in real-time a list of all persons attending the Videoconference  
20 Deposition.

21          6.       Counsel serving as a first or second chair and/or the interpreter shall be on camera,  
22 including counsel present in the room with the witness, and shall ensure no audio disruption if  
23 there are multiple remote attendees in a single location.

24          7.       During live testimony on the record, no one, including attorneys, shall  
25 communicate in any manner with the deponent in any way that cannot be heard or seen by all  
26 Participants to the deposition. This includes silent signals and private messages of any kind,  
27 including, but not limited to, instant messages or text messages conveyed through phones, smart  
28 watches, or similar devices. Such prohibition shall not affect the right of the deponent and their

1 lawyer(s) to communicate in private off the record to the extent otherwise permitted under Federal  
2 Rule of Civil Procedure 30(c)(1).

3 8. During the deposition, full and complete copies of deposition exhibits must be  
4 provided to the witness and counsel who are attending the deposition. Deposition exhibits may be  
5 made available in physical (hardcopy) form or via the Remote Deposition Technology, file sharing  
6 software, or other electronic means. A witness may be required to use a keyboard, mouse, or other  
7 similar means to open and/or advance the pages of an exhibit. Access to a full copy of the  
8 deposition exhibit electronically via iPad, tablet, laptop, or other device, will be deemed to equate  
9 to hardcopy access. During the deposition, the Remote Deposition Technology must allow: (1) the  
10 witness to privately access any part of the exhibit; (2) counsel to display and annotate exhibits for  
11 the witness; (3) counsel to add and remove exhibits; and (4) counsel to change the order in which  
12 the exhibits are presented to the witness.

13 9. Any pauses, lags, and/or disruptions in technology, including but not limited to  
14 interruptions in Internet connectivity, will not result in waiver of objections by any party. If any  
15 pauses, lags, and/or disruptions are persistent or prolonged, the Parties should: (1) extend the  
16 remote deposition by an amount of time equal to the duration of the pause, lag, and/or disruption,  
17 provided that the additional time is less than an hour; or (2) consider rescheduling the remote  
18 deposition for a later date, if the *additional* time required is an hour or more.

19 **E. Attendance**

20 1. *Who May Be Present.* Unless otherwise ordered under Federal Rule of Civil  
21 Procedure 26(c) and subject to the terms of the Protective Order entered in the MDL Proceedings,  
22 only the following individuals may attend depositions: attorneys of record in the Uber MDL and  
23 the State Court JCCP Case;<sup>2</sup> attorneys specially engaged by a party for purposes of the deposition;  
24 parties or in-house attorneys of a party; court reporters; videographers; the deponent; and counsel  
25 for the deponent. An expert or non-testifying consultant for a party may attend if the party  
26 employing that expert or non-testifying consultant provides: (a) advance notice of their attendance,  
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28 <sup>2</sup> *In Re Uber Rideshare Cases*, Case No. CJC-21-005188, Judicial Council Coordination  
Proceeding No. 5188.



per section E.3; and (b) confirmation that the expert or consultant has signed attestations confirming adherence to all applicable protective orders. Any party that objects to the attendance of such expert or consultant may seek relief from the Court in advance of the deposition.

2. *Unnecessary Attendance.* Unnecessary attendance by counsel is discouraged. Counsel who have only marginal connection with a deposition or who expect their interests to be adequately represented by other counsel should not attend.

3. *Notice of Intent to Attend a Deposition.* In order for counsel to make arrangements for adequate deposition space, representatives for Plaintiffs and Defendants shall share the number of expected attendees with each other no fewer than five (5) business days prior to the deposition, whenever feasible. Nothing in this section shall prevent a party or counsel hosting a deposition from requiring, for security purposes, the names of all attendees appearing at the deposition. If requested, this information must be provided at least two (2) business days in advance of the deposition.

4. *Remote Attendance:* The noticing party shall provide a call-in number and any other information necessary to attend a deposition by phone or alternatively provide a zoom link and other information necessary to attend a deposition via remote video and audio means.

5. *Identify Attendance on the Record:* Under no circumstances shall a person attend any part of a deposition in person, or by any remote means such as telephone, internet link-up, videoconference, or any other kind of remote-access communication, without being identified on the record.

#### **F. Coordination of Depositions**

1. *Coordination with State Court Proceedings:* The parties to this MDL shall use their best efforts to communicate, cooperate, and coordinate with state court litigants in the pending Judicial Council Coordination Proceeding Case No. 5188, Case No. CJC-21-005188, assigned to the Honorable Ethan P. Schulman (hereafter the “JCCP Proceedings”) to schedule and take depositions, including working on agreements for the cross-noticing of depositions that are common to the JCCP and MDL Proceedings. The Court recognizes that the state courts are independent jurisdictions; the parties in the MDL shall facilitate communication with counsel in

the JCCP Proceedings to efficiently conduct discovery.<sup>3</sup> Absent good cause or court order, common witnesses should not be deposed separately in this MDL Proceeding and the JCCP case.

2. *Limitation on Repeated Depositions.* Depositions taken in this MDL pursuant to this Order shall not be retaken in this MDL without a further Order of the Court upon good cause shown, e.g., incomplete custodial file initially produced, or an agreement of the parties. Counsel for any witness, and, in the case of former employees, for a party affiliated with that witness, shall use best efforts to minimize the necessity for the continued or further deposition of any witness by ensuring that deposing counsel have the complete production of information relevant to the witness sufficiently in advance of the deposition to permit proper and comprehensive examination of the witness on the dates scheduled. The Court may enter additional provisions regarding repeated depositions in subsequent Orders.

3. *Use of Depositions.* All depositions noticed in this MDL or appropriately cross-noticed pursuant to this Protocol are deemed noticed and taken in the MDL Proceedings, subject to appropriate evidentiary objections to the admission of deposition testimony or exhibits on summary judgment or at trial.

## **II. Conduct of Depositions**

### **A. Examination**

1. *Examiners:* Absent extraordinary circumstances, questioning related to the MDL deposition notice or cross-notice should be conducted by no more than two MDL Plaintiffs' attorneys for all Plaintiffs in the case of depositions noticed by Plaintiffs. Likewise, for depositions noticed by Defendants, questioning should be conducted by no more than two attorneys for Defendants. Nothing in this protocol requires parties to waive their rights to question a witness. Counsel shall confer prior to the deposition concerning allocation of time to question a deponent. Counsel's failure to allocate time among themselves or to enforce that allocation of time among themselves during a deposition shall not constitute grounds to extend a deposition. Counsel should cooperate in the allocation of time to ensure efficiency for witnesses, and to comply with the time limits set by the Court.

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<sup>3</sup> The Court expects this issue will be subject to a separate agreement involving those parties.

2. *Sequence of Questioning.* The questioning of current or former employees of Defendant(s) will be conducted in the following presumptive sequence: (1) examination by the opposing parties, (2) questioning by other parties; (3) counsel for the witness and the witness's employer; (4) any reexamination by the counsel listed above. The questioning of a third-party will be conducted in the following presumptive sequence: (1) examination by the noticing party, (2) questioning by other parties; (3) counsel for the witness and/or the witness's employer; (4) any reexamination by the counsel listed above. If a party contends that the presumptive examination sequence should not apply to a particular deposition, the affected parties shall, upon receipt of the notice, promptly meet and confer to try to resolve the matter; if the matter cannot be resolved by agreement of counsel, it shall be submitted to the Court so that the deposition can proceed without delay. Counsel designated to conduct the examinations shall coordinate with each other to conduct as thorough and non-duplicative and non-repetitive an examination as is practicable and to ensure that the needs of all examining parties are reasonably accommodated. Sequencing of plaintiff-specific depositions, including depositions of health care providers, will be set by separate order.

3. *Production of Documents by Third-Party Witnesses.* If a third-party witness subpoenaed to produce documents does not provide documents within ten (10) calendar days before the date of a scheduled deposition, the noticing party shall have the right to reschedule the deposition to allow time for inspection of the documents before the examination commences.

4. *Copies.* Counsel conducting an examination should have at least five (5) copies of all exhibits utilized with the witness available for use by the witness (1 copy), the witness's counsel (1 copy), other counsel (2 copies), and the Court reporter (1 copy).

5. *Objections to Documents.* Objections to the relevance or admissibility of documents used as deposition exhibits are not waived and are preserved pending a later ruling by the Court or by the trial judge. All parties shall cooperate as necessary so that the Court may issue a ruling on any objection to a document prior to trial or prior to any remand of cases for trial in the transferor courts.

6. *Adherence to the Protective Order.* All parties shall adhere to all Protective Orders entered in this matter and shall take steps to ensure deponents adhere to Protective Orders as

1 applicable. Nothing in this Protocol modifies the terms of any Protective Order entered by the  
2 Court in the MDL Proceedings.

3 **B. Duration**

4 Absent agreement of the Parties or a Court order allowing additional time or reducing the  
5 allowed time, the time limits for depositions are (a) seven (7) hours of direct examination by the  
6 MDL Plaintiffs' attorneys of a witness whom the JCCP has not elected to depose, (b) ten and one  
7 half (10.5) hours for the combined direct examination by MDL and JCCP Plaintiff attorneys  
8 (subject to agreement or Court order from the JCCP), and (c) seven (7) hours for the combined  
9 direct examination by all the Defendants' attorneys, in each case depending on whether a Plaintiff  
10 or Defendants noticed the deposition. The seven (7) hours of examination (or 10.5 if combined  
11 examination by MDL and JCCP Plaintiff attorneys) shall not include questioning by the party  
12 defending the deposition or other opposing counsel, or time on re-direct or re-cross. Additionally,  
13 Plaintiffs shall be allowed to designate fifteen (15) depositions that will last 14 hours for the  
14 combined examination by MDL and JCCP Plaintiff attorneys. The noticing party shall be entitled  
15 to a minute-for-minute re-cross following any examination conducted by the defending party  
16 and/or other opposing counsel. To the extent that the party defending the deposition and/or other  
17 opposing counsel conducts a further re-direct examination following the noticing party's re-cross,  
18 the noticing party shall be entitled to a minute-for-minute re-recross.

19 Absent agreement of the parties or an Order of the Court, Plaintiffs shall be limited to 45  
20 fact depositions of Uber witnesses, including 30(b)(6) witness depositions. This number is a "soft  
21 cap," or a presumptive number subject to discussions between counsel for the parties to allow for  
22 additional depositions if there is good cause for such depositions, taking into account discovery  
23 already obtained, and whether or not additional depositions would be unreasonably cumulative or  
24 duplicative. This number is not meant to be an absolute cut off of depositions and is intended to  
25 allow for the flexibility for additional depositions as dictated by the discovery process generally,  
26 including, but not limited to, the scope of discovery, document productions and supplementation  
27 thereto, and the ability of Uber's witnesses to adequately cover the timeframes and issues relevant  
28 to this litigation.

It is understood that the Plaintiffs may notice and take multiple Rule 30(b)(6) depositions of Defendants. The party noticing a Rule 30(b)(6) deposition may limit a Rule 30(b)(6) deposition notice to a discrete subject matter category and notice topics concerning that category (without prejudice to serving additional Rule 30(b)(6) notices as the discovery period continues). For depositions pursuant to Rule 30(b)(6), the noticing party shall make a good faith effort to include all topics that relate to a discrete subject matter category in a single notice. Every ten and one half (10.5) hours of Rule 30(b)(6) deposition testimony (or 14 hours, if Plaintiffs designate a Rule 30(b)(6) deposition for additional time pursuant to this Order) shall count as one (1) deposition against the overall “soft cap” of forty-five (45) depositions of Uber defendants. The ten and one half (10.5) hours (or 14 hours, if Plaintiffs designate a Rule 30(b)(6) deposition for additional time pursuant to this Order) shall not include questioning by the party defending or other opposing counsel, or time on re-direct or re-cross.

**C. Objections and Directions Not to Answer**

1. *Objections:* Counsel shall comply with the Federal Rules of Civil Procedure and the local rules of the U.S. District Court for the Northern District of California. Any objection by a Defendant at a deposition shall be deemed to have been made on behalf of all other Defendants. Any objection by a Plaintiff shall be deemed to have been made on behalf of all other Plaintiffs. All objections, except those as to form and privilege, are reserved until trial or other use of the depositions. Once a question is asked, a lawyer should not coach the deponent or suggest answers, whether through objections or other means. Counsel shall comply with Federal Rule of Civil Procedure 30(c)(2). Deposition objections may be made as to privilege or may be made only where required in order to preserve the objection. Speaking objections or those calculated to coach the deponent are prohibited. Counsel shall refrain from engaging in colloquy during a deposition. A person may instruct a deponent not to answer a question only when necessary to preserve a privilege, to enforce a limitation directed by the Court, or to present a motion under Federal Rule of Civil Procedure 30(d)(3). When a privilege is claimed, the witness should nevertheless answer questions relevant to the existence, extent or waiver of the privilege, such as the date of the communication, who made the statement, to whom and in whose presence the statement was made,

other persons to whom the contents of the statement have been disclosed, and the general subject matter of the statement, unless such information itself is privileged. Counsel may be subject to sanctions if they consistently impede, or otherwise unreasonably delay, the fair examination of the deponent. Private conferences between deponents and their attorneys in the course of deposition are improper and prohibited except for the sole purpose of determining whether a privilege should be asserted.

**D. Authenticity of Documents.**

1. *Authenticity of Documents Provided or Generated by a Party*

a. *Documents Presumed Authentic:* Documents produced and/or purportedly generated by any party that purport to be copies of documents prepared or received by the party, or by an officer, director, employee or agent of the party, shall—subject to Paragraphs 2–4 below—be presumed to be a faithful and authentic reproduction of the original and, subject to other applicable rules of evidence, admissible as such, unless any objecting party establishes, through a motion in limine or otherwise, that the document is not authentic. All other evidentiary objections other than authenticity are preserved.

b. *Presumption of Authenticity Limited to Portion Generated by Party:* For the purposes of this Order, a document in its entirety is deemed to have been generated by a party only if that party or one of its then-directors, officers, agents, or employees created all of the document. If a party or one of its then-directors, officers, agents, or employees created only part of a document, such as a part of a chain of electronic mail, only that part of the document is deemed to have been generated by that party.

2. *Objections to Documents Marked as Exhibits to Depositions*

Within 30 calendar days of the receipt of the transcript of a deposition hereafter taken in the coordinated and consolidated proceedings, any party wishing to contest the presumptive authenticity of any document(s) previously produced and/or purportedly generated by that party that were placed into the record of that deposition as deposition exhibits shall advise Plaintiffs' Lead Counsel and Defendants' Counsel in writing of: (a) the deposition and exhibit number, as well as the bates numbers, of any exhibit where the producing or purportedly generating party

1 claims lack of authenticity; and (b) a detailed statement (including if appropriate references to  
2 other pertinent documents and knowledgeable persons) of the grounds for the claim of lack of  
3 authenticity. Any objection shall be deemed to have been made for all depositions for which the  
4 exhibit is used in the future. Any such exhibits not so identified within that time shall be deemed  
5 faithful and authentic reproductions of the original. The parties shall not mark deposition exhibits  
6 en masse for the sole purpose of bringing those exhibits within the scope of this paragraph.

7       3.       *Documents Provided by Nonparties*

8       Documents produced and/or purportedly generated by a nonparty shall be presumed to be  
9 a faithful and authentic reproduction of the original, unless any objecting party establishes,  
10 through a motion in limine or otherwise, that a document is not authentic. Documents produced  
11 and/or purportedly generated by a nonparty that are placed into the record as deposition exhibits  
12 in any deposition in the coordinated and consolidated proceedings shall be deemed to be faithful  
13 and authentic reproductions of the original unless any party raises an objection within the  
14 requisite time frames set forth above in paragraph D.2.

15       4.       *Remedies After Notification of Any Objection to Authenticity*

16       Upon being notified that an exhibit is claimed to be inauthentic, after meeting and  
17 conferring with opposing counsel, either Plaintiffs' Lead Counsel or Defendants' Counsel may,  
18 with consent of opposing counsel or with leave of Court, initiate appropriate discovery limited to  
19 seeking to further establish authenticity.

20       a.       *Limited Scope of Deposition:* Any deposition noticed solely to establish the  
21 authenticity of a document shall be limited strictly to that purpose for the specific document at  
22 issue and shall not address any other issues.

23       b.       *Depositions Not Applicable to Other Established Limit:* Where such a deposition  
24 is taken, that deposition shall not be counted towards the "soft cap" and/or limits on the number,  
25 days, or hours of depositions that may otherwise be imposed by this Order.

26       c.       *Additional Time for Discovery:* In the event any written objection to the  
27 authenticity of a document within the meaning of Rule 902(11) is made near or after the close of  
28 fact discovery, the objecting party agrees to allow for additional discovery for up to thirty days



1 after written objection is received by the nonobjecting party, regardless of whether the discovery  
2 period is closed, for the sole purpose of establishing the authenticity of documents that are the  
3 subject to the challenge.

4 5. *Limitations of Protocol Regarding Authenticity Challenges*

5 a. *No En Masse Marking of Deposition Exhibits to Trigger Presumptions:* The  
6 parties may not mark deposition exhibits en masse for the sole purpose of bringing those exhibits  
7 within the scope of Paragraphs D.1–4.

8 b. *No Effect on Other Rules of Evidence:* This Order addresses only the authenticity  
9 of any particular document and is without prejudice to application of any other rule of evidence  
10 that may be implicated by a particular document. Further, in the event that the Court is called  
11 upon to rule on the admissibility of a document, this Order does not alter the burdens of proof  
12 with respect to admissibility.

13 c. *No Waiver of Objections to Similar Documents:* The failure of any party to object  
14 to any document shall not constitute an admission or concession by that party that similar  
15 documents to which the party does object are authentic under applicable federal or state laws. For  
16 example, the failure to object to each email being treated as authentic does not foreclose such an  
17 objection to any individual email.

18 d. *No Advance Rulings on Authenticity Without Leave of Court:* A party may only  
19 seek a ruling on the authenticity of evidence by first securing leave of the Court to present the  
20 dispute(s) for adjudication or filing (within thirty days after the close of fact discovery) their  
21 request for a ruling. To request leave to seek an early ruling, the party shall file an administrative  
22 motion pursuant to Civil Local Rule 7-11. If a dispute remains, MDL Lead Counsel may request  
23 an in limine hearing on their objections. Absent exigent circumstances or Court Order, in limine  
24 hearings may only occur every ninety (90) days or after the accumulation of 50 or more  
25 unresolved written objections, whichever is sooner. All objected to exhibits will be presented in  
26 one filing. Any ruling shall be treated as any other pre-trial ruling in limine at trial. Unless and  
27 until the Court orders otherwise (upon application of a party or sua sponte), except to the extent  
28 necessary to rule upon any application to take limited discovery pursuant to Paragraph 4 above,



1 the Court will not rule on disputes about the authenticity of any documents subject to this Order  
2 until after the parties complete discovery. In advance of that time, the parties shall meet and  
3 confer to propose a process and deadlines to resolve any disputes concerning the authenticity of  
4 documents subject to this Order.

5 e. *Parties to Meet and Confer on Disputes:* The parties shall make good faith,  
6 cooperative efforts, through the meet and confer process or otherwise, to resolve any issues or  
7 disputes concerning this Order and the authenticity of documents subject to this Order to  
8 minimize the time and resources of the parties and of the Courts devoted to such matters. A party  
9 may seek relief from the Court only after meeting and conferring with the opposing parties.

10 **E. Disputes During Depositions**

11 Disputes between the MDL parties shall be addressed to this Court rather than the District  
12 Court in the District in which the deposition is being conducted.

13 Disputes arising during depositions that cannot be resolved by agreement and that, if not  
14 immediately resolved, will significantly disrupt the discovery schedule, or require rescheduling of  
15 the deposition, or might result in the need to conduct a supplemental deposition, shall be presented  
16 to Magistrate Judge Cisneros or, if she is unavailable, to the Court by telephone at 415-522-2043.

17 In the event the Court and Magistrate Judge Cisneros are unavailable by telephone to  
18 resolve disputes arising during the deposition, the deposition shall nevertheless continue to be  
19 taken as to matters not in dispute. Nothing in this Order shall deny counsel the right to (1) suspend  
20 a deposition pursuant to Fed. R. Civ. P. 30(d)(3); (2) file an appropriate motion with Magistrate  
21 Judge Cisneros after the deposition, and appear personally before Magistrate Judge Cisneros, or  
22 (3) file a motion to prevent any decision or recommendation of Magistrate Judge Cisneros from  
23 taking effect as may be otherwise permitted.

24 **F. Video Depositions**

25 By so indicating in its notice of a deposition, a party, at its expense, may record a deposition  
26 by videotape or digitally recorded video pursuant to Fed. R. Civ. P. 30(b)(3) subject to the  
27 following rules:  
28

1           1.       *Real-Time Feed.* All video depositions will be steno-graphically recorded by a court  
2 reporter with real-time feed capabilities.

3           2.       *Video Operator.* The operator(s) of the video recording equipment shall be subject  
4 to the provisions of Fed. R. Civ. P. 28(c). At the commencement of the deposition, the operator(s)  
5 shall swear or affirm to record the proceedings fairly and accurately.

6           3.       *Attendance.* Each witness, attorney, and other person attending the deposition shall  
7 be identified on the record at the commencement of the deposition. Under no circumstances may  
8 a person attend the deposition remotely in any manner without being identified, pursuant to section  
9 I(E)(5), above.

10          4.       *Standards.* Unless physically incapacitated, the deponent and examiner shall be  
11 seated at a table except when reviewing or presenting demonstrative materials for which a change  
12 in position is needed. To the extent practicable, the deposition will be videotaped against a solid  
13 background with only such lighting as is required for accurate video recording. Lighting, camera  
14 angle, lens setting, and field of view shall be nonobtrusive to the deponent and will be changed  
15 only as necessary to record accurately the natural body movements of the deponent. All parties  
16 may inspect the image to be recorded, including the framing of the witness as it will appear on  
17 camera. Exhibits or demonstrative aids used in the examination may be video recorded by separate  
18 video recording equipment at the expense of the party wishing to do so. Any demonstrative aids  
19 used in the examination will be marked as exhibits for future determination by the Court as to  
20 whether inclusion on screen is appropriate. Sound levels will be altered only as necessary to record  
21 satisfactorily the voices of counsel and the deponent.

22          5.       *Filing.* The video operator(s) shall preserve custody of the original video medium  
23 (tape or DVD) in its original condition until further order of the Court.

24          6.       *Interruptions.* No attorney or party shall direct instructions to the video operator as  
25 to the method of operating the equipment. The video camera operation will be suspended during  
26 the deposition only upon stipulation by counsel.

27          7.       *Other Recording.* No one shall use any form of recording device to record the  
28 deposition during a deposition other than the designated videographer or court reporter. This shall

1 include recording using any form of remote transmitting device, computer recording device, laptop,  
 2 camera, and personal device, including smart phones, tablets, iPads, Androids, iPhones,  
 3 Blackberries, or other PDAs. Any person who violates this provision shall be immediately  
 4 identified and reported to the Court for the possible imposition of sanctions. Nothing in this  
 5 provision prevents or limits the taking of notes by those identified on record.

6 8. *Stenographic Record:* A written transcript by the Court reporter shall constitute the  
 7 official record of the deposition for purposes of Federal Rules of Civil Procedure 30(e) and  
 8 30(f).

9 **G. Correction and Signing of Depositions**

10 Unless waived by the deponent, the transcript of a deposition shall be submitted to the  
 11 deponent for correction and signature within thirty calendar days after the end of the deposition.

12 The deposition may be signed by the deponent before any notary within thirty (30) calendar  
 13 days after the transcript is submitted to the deponent. If no corrections are made during this time,  
 14 the transcript will be presumed accurate. If the witness is not represented by counsel, the original  
 15 transcript will be sent to the witness by the court reporter. After review, correction, and signature within  
 16 thirty (30) days from the date of receipt, the witness shall return the original transcript to the court  
 17 reporter, who will notify all counsel of its receipt and any changes to testimony made by the witness.  
 18 If no corrections are made during this time, the transcript will be deemed to be accurate. The court  
 19 reporter will provide the original transcript to the first examining attorney. If, for any reason, the  
 20 original is lost, misplaced, not returned, not signed, or unavailable, a certified copy may be used in its  
 21 place for all purposes.

22 **H. Cost of Deposition**

23 The noticing party shall bear the initial expense of both videotaping and stenographic  
 24 recording. The parties shall pay for their own copies of transcripts and videotapes of depositions.

25 **III. Guidelines for Professional Conduct and Federal Rules of Civil Procedure**  
 26 **Applicable**

27 The parties incorporate herein the Guidelines for Professional Conduct, including those  
 28 related to Discovery and Depositions, of the United States District Court, for the Northern District

1 of California. Unless specifically modified herein, nothing in this order shall be construed to  
2 abrogate the Federal Rules of Civil Procedure or the Local Rules of this Court.

3  
4 **IT IS SO ORDERED.**

5 Date:

6 \_\_\_\_\_  
7 Hon. Lisa J. Cisneros  
8 United States Magistrate Judge  
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